

Incorrect use of SAR glossary codes

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The UK Financial Intelligence Unit (UKFIU) has noticed incorrect usage of Suspicious Activity Report (SAR) glossary codes relating to XXS99XX and the discontinued XXS9XX.

Code **XXS99XX** is for when a reporter wishes to apply for consent under the Proceeds of Crime Act 2002. *(Please note that the UKFIU now refers to these as DAML requests - Defence Against Money Laundering)*. Using this code moves the SAR directly into the DAML case management system so that it can be processed. Those reporters using SAR Online should also 'check' the consent box, which moves it into the DAML case management system (as above).

Code XXS9XX was discontinued in 2013. However, the UKFIU has noticed that some reporters (presumably by accident) are using it incorrectly to request a DAML (by missing out one of the '9's in XXS99XX).

While use of the glossary codes is not mandatory, and the UKFIU can identify SARs seeking a DAML through key word searching, use of the incorrect code of XXS9XX – while omitting to mention in the SAR that the reporter is requesting a DAML or not ticking the consent box – means that there is the potential for such a request **to not be identified**. This could have repercussions for the reporter and impact law enforcement and the UK's ability to counter the threat of money laundering.

Some reporters are also still using XXS9XX for its historic purpose (which related to the suspected placement of cash). As this code was discontinued five years ago we would ask reporters to stop using it and instead regularly check the UKFIU's section on the NCA website (www.nationalcrimeagency.gov.uk) for the most up to date version of the glossary codes. Use of historic discontinued codes means that your SAR may not be identified by the UKFIU/law enforcement.

As with all glossary codes, they should be used if they are easy to relate to the activity being reported and to supplement the reason for suspicion which should still be explained as a narrative. Which code to use should be determined solely by the reporter's judgement of the activity in line with the Proceeds of Crime Act.