



Department for
Business, Energy
& Industrial Strategy

BUSINESS IMPACT TARGET: SUMMARY TEMPLATE

Non-qualifying Regulatory Provisions
(NQRPs) summary reporting template

Regulator: Gambling Commission

Business Impact Target Reporting Period Covered: 21st June 2019 – 12 December 2019

Excluded Category*	Summary of measure(s), including any impact data where available**
Measures certified as being below <i>de minimis</i> (measures with an EANDCB below +/- £5 million)	<p>The Commission made changes to the Licence Conditions and Codes of Practice following consultations on:</p> <ul style="list-style-type: none"> • Alternative dispute resolution processes • Processes for identifying and interacting with customers who may be experiencing or at risk of gambling related harm
EU Regulations, Decisions and Directives and other international obligations, including the implementation of the EU Withdrawal Bill and EU Withdrawal Agreement	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion
Measures certified as concerning EU Withdrawal Bill operability measures	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion
Pro-competition	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion
Systemic Financial Risk	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion
Civil Emergencies	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion
Fines and Penalties	<p>The Commission has agreed three regulatory settlements which have included payments in lieu of financial penalty made by the licensees. Where possible, monies were returned to identifiable victims, used for socially responsible purposes and/or to cover the Commission's investigative costs. The Commission also imposed one financial penalty (paid for breach of anti-money laundering conditions and social responsibility failings), monies which are statutorily required to be paid into the Consolidated Fund</p>
Misuse of Drugs	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion
Measures certified as relating to the safety of tenants, residents and	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion

Excluded Category*	Summary of measure(s), including any impact data where available**
occupants in response to the Grenfell tragedy	
Casework	<p>The Commission imposed regulatory sanctions:</p> <ul style="list-style-type: none"> • 1 personal licence revoked • 1 personal licensee received a warning • 1 operator received a warning • 1 operator received warnings, additional conditions and financial penalties • 1 operating licence suspended by the Commission pending investigation and 1 operator voluntarily suspended activities pending investigation <p>These actions against non-compliant businesses were to secure compliance with existing regulatory requirements.</p> <p>Between 21 June and 31 October 2019, 52 new operating licences were issued and 82 surrendered.</p>
Education, communications and promotion	<p>The Commission has:</p> <ul style="list-style-type: none"> • Given 13 presentations to external stakeholders and 3 to co-regulatory partners • Provided general information to operators and co-regulatory partners via 4 Licensing Authority and 9 E-bulletins and over 30 press releases; and we made various updates to our website content including advice notes, guidance notes, mini blogs, podcasts and webinars. <p>The Commission published:</p> <ul style="list-style-type: none"> • Young people & gambling report • Enforcement report for 2018-19 • Podcast on gambling online with credit cards <p>No activities listed in this section represent a change in the burden of regulation placed on business.</p>
Activity related to policy development	<p>The Commission has consulted on and is currently considering responses to:</p> <ul style="list-style-type: none"> • Gambling online with credit cards • Changes to the test house framework • Calls for evidence on player protections on Category B machines and on gambling website blocking software <p>We will report on the outcome of these next year.</p>
Changes to management of regulator	<p>Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion</p>

* For detailed guidance on the exclusion categories, please see <https://www.gov.uk/government/publications/better-regulation-framework>

** Complete the summary box as 'Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.' where this is appropriate.