



Department for
Business, Energy
& Industrial Strategy

BUSINESS IMPACT TARGET:
SUMMARY TEMPLATE

Non-qualifying Regulatory Provisions (NQRP) summary reporting template



Regulator: Gambling Commission

Business Impact Target Reporting Period Covered: 9 June 2017 to 20 June 2018

Excluded Category*	Summary of measure(s), including any impact data where available
Measures certified as being below de minimis (measures with an EANDCB below +/- £5 million)	<p>Consultations</p> <p>The Commission made changes to the Licence Conditions and Codes of Practice following consultations on:</p> <ul style="list-style-type: none">• Regulatory returns to both add and remove data points, which impacted all licence holders.• Ensuring lotteries are conducted in a fair and open way which impacted on society lottery and external lottery managers only.• Horse race pool betting requirements to mark the opening up of licence to the market. . <p>The Remote Technical Standards guidance was amended following a consultation on transparency which impacted on remote gambling operators only; and changes were made to the statutory guidance for casinos following a consultation on the prevention of money laundering which impacted on casino operators only.</p> <p>A further consultation opened on “changes to marketing and advertising, unfair terms and complaints and disputes conditions and codes of practice” which will be reported on next year.</p> <p>Publications</p> <p>The Commission published:</p> <ul style="list-style-type: none">• Customer interaction guidance for remote gambling operators which restated existing requirements.• Review of online gambling and identified areas for future work to strengthen online gambling controls.• Various research documents on gambling behaviour and industry statistics.• Advice to support Government’s review of gaming machines. DCMS has submitted the Regulatory Impact Assessment on the changes.• A new strategy for 2018-2021; a business plan for 2018-19 and an updated enforcement strategy. <p>Education, communications and promotion</p> <p>The Commission has given:</p> <ul style="list-style-type: none">• Over 25 presentations to external stakeholders and over 65 to coregulatory partners and exhibited at 11 trade fairs.• General information was provided to operators and co regulatory partners via 11 Licensing Authority and 27 E bulletins and over 70 press releases during the year and we made various updates to our website content including to advice notes, quick guides, mini blogs.

Excluded Category*	Summary of measure(s), including any impact data where available
	<ul style="list-style-type: none"> • The Commission is piloting a new online consultation platform to make it easier for operators, co regulators and consumers to contribute to consultations. <p>None of the material produced created a new regulatory standard that a business will be expected to follow. The communication is a restatement/dissemination of existing requirements and attendance at educational and promotional events is not compulsory.</p> <p>Casework The Commission imposed regulatory sanctions this year:</p> <ul style="list-style-type: none"> • 14 personal licences revoked, • 1 personal licensee received a warning • 2 operating licence revoked • 2 operators received warnings • 1 operators received warnings and penalty <p>These actions against non-compliant businesses were to secure compliance with existing regulatory requirements.</p> <p>During this period 274 new operating licences were issued and 49 surrendered.</p> <p>No activities listed in this section represent a change in the burden of regulation placed on business.</p> <p>Fines and penalties There have been 9 settlements in lieu of financial penalty made by industry during the year following agreement between the Commission and the operator to secure compliance with regulatory requirements, with the monies returned to identifiable victims, used for socially responsible purposes or cover the Commission's costs. Additionally there was one financial penalty (paid for breach of marketing and advertising conditions (<i>Details are available on the Gambling Commission's website.</i>).</p> <p>Changes to management Chief Executive Sarah Harrison left the Commission in February 2018 and Neil McArthur was appointed as her successor in April 2018.</p>
All other excluded categories	Following consideration of the other exclusion categories there are no measures for the reporting period that qualify for the exclusions

*This column will be updated with the other exemption categories once the Business Impact Target has been announced. Complete the summary box as 'Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.' where this is appropriate.