

## Response

Thank you for your request under the Freedom of Information Act 2000 (FOIA) regarding the standards and requirements set out in the LCCP for External Lottery Manager (ELM) and society lottery licence holders.

Any licensed society lottery or ELM who has remote lottery proceeds are required to be compliant with the Remote Technical Standards (RTS). A requirement of the RTS is the submission of a security audit which demonstrates compliance.

There is any exception that applies to society lotteries, if proceeds are below £250,000 operators can hold an ancillary license, this exempts the society lottery from having to carry out independent security audits each year.

### Society Lotteries

In total the Commission licences 44 society lotteries requiring an audit.

- 31 of the 44 society lotteries have an in date security audit.
- 13 of the 44 society lotteries are due to submit; the Commission is currently engaging with these operators regarding outstanding security audits.

### ELMs

In total the Commission licences 9 ELMs requiring an audit.

- 4 of the ELMs have an in date security audit.
- 5 of the ELMs are due to submit; the Commission is currently engaging with these operators regarding outstanding security audits.

With regards to the specific operators, we are of the view that this information is exempt under section 31 of the FOIA.

As you will be aware, operators are required to meet a broad range of licence conditions. The Commission does not publically comment on the compliance status of individual operators as a matter of course as to do so would be likely to prejudice the relationship the Commission aims to maintain, whereby operators engage with the Commission openly regarding licensing and compliance matters. Where instances of non-compliance are sufficiently serious, the Commission has a number of regulatory actions which we may undertake. If the result of action is a regulatory sanction, then this is published. It would be disproportionate for us to publish instances of relatively minor non-compliance such as a late submission (be it a security audit or a key event notification). This is explained in more detail in the attached document.

### Review of the decision

If you are unhappy with the service you have received in relation to your request and wish to make a complaint or request a review of our decision, you should write to FOI Team, Gambling Commission, 4th floor, Victoria Square House, Victoria Square, Birmingham, B2 4BP.

If you are not content with the outcome of your complaint, you may apply directly to the Information Commissioner (ICO) for a decision. Generally, the ICO cannot make a decision

unless you have exhausted the complaints procedure provided by the Gambling Commission. The ICO can be contacted at: The Information Commissioners' Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF.

## **Request**

Please could you provide me with a list of External Lottery Managers and society lotteries who have completed the Remote gambling and software technical standards as set out in the LCCP.