

Operator licences – regulatory decisions

The Commission has the power to apply the following sanctions to operating licences, following review:

- warning
- imposing additional conditions or amending existing ones
- financial penalty (only in the event a licence condition is breached)
- suspension
- revocation.

The regulatory sanctions to date are set out below:

| Licence Holder | Trading name | Licence type | Decision | Date of decision | Details of decision |
|--|-------------------|----------------------------|---|------------------|---|
| William Claridge Limited | | General Betting (Standard) | Warned | 3 March 2011 | A Commission Director decided to issue a formal warning to William Claridge Limited. The Director's reasons were that whilst a number of breaches had been found during the course of the review, the Director found that the Licensee had demonstrated an understanding of the issues which gave rise to the licence review; there was not a significant risk of a repetition and took account of the fact that the Licensee had taken corrective steps to address the issues the Commission has raised. |
| Trevor Newman, Richard West, Michael Lamont and Nicolwain Ltd | Oddsit Bookmakers | General Betting (Standard) | Warned, condition amended and a financial penalty | 16 December 2010 | The Regulatory Panel decided to issue a formal warning to Trevor Newman, Richard West, Michael Lamont and Nicolwain Ltd; to amend a condition attached to the operating licence and to issue a financial penalty of £3500. The Panel's reason was that the Licensee had breached conditions of their operating licence. |
| Nicolwain Ltd | Oddsit Bookmakers | General Betting (Standard) | Warned, condition amended and a financial penalty | 16 December 2010 | The Regulatory Panel decided to issue a formal warning to Nicolwain Ltd; to amend a condition attached to the operating licence and to issue a financial penalty of £3500. The Panel's reason was that the Licensee had breached conditions of their operating licence. |

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| Nicky Shorrocks and Andrew Uppington | ATL Amusements / ATL Hire | Gaming machine general (AGC) / Gaming machine technical (supplier) | Revoked | 1 December 2010 | A Commission Director decided to revoke the operating licence issued under section 74 of the Gambling Act 2005 to Nicky Shorrocks and Andrew Uppington on the grounds that class A drugs, electronic scales and other drug related paraphernalia were found by police in their AGC premises. |
| Peter Bennet and Cyril Geoffrey Bennet | North West Arrow | Gaming machine technical (supplier) | Warned | 30 November 2010 | A Commission Director decided to issue a formal warning to Peter Bennet and Cyril Geoffrey Bennet trading as North West Arrow. The Director's reason was that the operator was found to have failed to adequately manage the licensed activities authorised by their operating licence. |
| Wright Bookmakers Limited | | General Betting (Standard) | Condition attached | 22 October 2010 | A Commission Director decided to attach a condition to the operating licence issued under section 74 of the Gambling Act 2005 to Wright Bookmakers Limited on the grounds that one of the directors of the operator had been convicted of a relevant offence. The Director's reason was that he considered the individual an unsuitable person to occupy a qualifying position. |
| Gameaccount Global Limited | | Combined Remote Operating Licence | Warned | 19 October 2010 | Commission officials decided to issue a formal warning to Gameaccount Global Limited. The officials' reason was that the operator had been found to have breached two conditions and failed to comply with a provision of the Social Responsibility Code of Practice. |
| Mr Kevin Knox | KK Racing | General Betting (Standard) | Revoked | 27 September 2010 | Commission officials decided to revoke the operating licence issued under section 74 of the Gambling Act 2005 to Mr Kevin Knox trading as KK Racing on the grounds that he was unsuitable to carry on the licensed activities permitted by that licence. The officials' reason was that the Licensee had failed to submit a Regulatory Return; failed to pay the financial penalty resulting from this and did not engage with the Commission's review process. |

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| Sporting & Racing Ltd | | General Betting (Standard) | Warned | 17 August 2010 | Commission officials decided to issue a formal warning to Sporting and Racing Limited. The officials' reason was that the Licensee had offered facilities for gambling without the correct permissions in place. |
| Macbet (Elm Row) Limited | Macbets | General Betting (Standard) | Warned | 8 June 2010 | Commission officials decided to issue a formal warning to Macbet (Elm Row) Limited. The officials' reason was that the Licensee had offered facilities for gambling without the correct permissions in place. |
| Trevor Newman, Richard West, Michael Lamont and Nicolwain Ltd | Oddsit Bookmakers | General Betting (Standard) | Warned and conditions attached | 18 February 2010 | The Regulatory Panel decided to issue a formal warning to Trevor Newman, Richard West, Michael Lamont and Nicolwain Ltd and attach conditions to the operating licence. The Panel's reason was that the Licensee had breached conditions of their operating licence and failed to comply with Social Responsibility Codes of Practice. |
| Nicolwain Ltd | Oddsit Bookmakers | General Betting (Standard) | Warned and conditions attached | 18 February 2010 | The Regulatory Panel decided to issue a formal warning to Nicolwain Ltd and attach conditions to the operating licence. The Panel's reason was that the Licensee had breached conditions of their operating licence and failed to comply with Social Responsibility Codes of Practice. |
| Peter Moul | Andy Peters Racing | General Betting (Standard) | Warned | 9 December 2009 | Commission officials decided to issue a formal warning to Peter Moul. The officials' reason was that the Licensee had failed to meet the requirements of the Licence Conditions and Codes of Practice. |
| Lifewave Limited | Cascade Amusements | AGC | Warned | 9 June 2009 | Commission officials decided to issue a formal warning to Lifewave Ltd. The officials' reason was that the company had made five category B3 gaming machines available for use on two separate occasions. |
| William Claridge Limited | William Claridge Limited | General Betting (Standard) | Warned | 30 April 2009 | Commission officials decided to issue a formal warning to William Claridge Limited. The officials' reason was that the company had failed to meet the requirements of the Licence Conditions and Codes of Practice. |

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| York Coin Leisure Limited | York Coin Leisure Limited | Converted section 27 notice | Warned | 16 December 2008 | Commission officials decided to issue a formal warning to York Coin Leisure Limited. The officials' reason was that the company had supplied and maintained gaming machines to two premises that did not have the relevant permissions to have machines sited and operated, an allegation which the officials were satisfied had been proven. |
| Mr Zahir Aslam | Abbey Snooker Club | AGC / FEC | Warned | 10 November 2008 | Commission officials decided to issue a formal warning to Mr Zahir Aslam. The officials' reason was that Mr Aslam's competence to carry out the activities permitted by his licence was called into question and Amusement Machine Licence Duty was not paid for a short period of time. |
| Mr Geoffrey Readman | Geoff Readman | General Betting (Limited) | Warned | 23 October 2008 | Commission officials decided to issue a formal warning to Mr Geoffrey Readman. The officials' reason was that a representative acting on behalf of Mr Readman received a caution for the offence of fraud by abuse of a position of trust. |
| Bradlow Racing Limited | Bradlow Racing Limited | General Betting (Standard) | Warned | 21 October 2008 | Commission officials decided to issue a formal warning to Bradlow Racing Limited. The officials' reason was that the company had accepted telephone bets without holding the relevant licence to do so, an allegation which the officials were satisfied had been proven. |
| Mr Joseph Blackburn | Joseph Blackburn Bookmakers Ltd | General Betting (Standard) | Warned | 3 September 2008 | Commission officials decided to issue a formal warning to Mr Joseph Blackburn. The officials' reason was that he had accepted telephone bets without holding the relevant licence to do so, an allegation which the officials were satisfied had been proven. |
| Mr Steven George O'Malley | Steve's Racing | General Betting (Standard) | Warned | 15 August 2008 | Commission officials decided to issue a formal warning to Mr Steven O'Malley. The officials' reason was that Mr O'Malley accepted telephone bets from individuals acting on behalf of third parties, an allegation which the officials were satisfied had been proven. |