

Section 3. Licence Application

6a. Please refer to the Guidance Notes and indicate the type of Personal Functional Licence being applied for by placing a cross in the box(es) as required. (If you are not applying for a Personal Functional Licence please continue to question 6b.)

Please indicate what function you will be performing:

Casino dealer/croupier	<input checked="" type="checkbox"/>	Casino pit boss/gaming supervisor	<input checked="" type="checkbox"/>
Casino cashier	<input checked="" type="checkbox"/>	Casino surveillance	<input checked="" type="checkbox"/>
Casino inspector	<input checked="" type="checkbox"/>		

6b. Please refer to the Guidance Notes and indicate the type of Personal Management Licence being applied for by placing a cross in the box(es) as required. (If you are not applying for a Personal Management Licence please continue to question 7.)

Role

Responsibility for overall strategy and delivery of gambling operations	<input checked="" type="checkbox"/>	Regional manager	<input checked="" type="checkbox"/>
Responsibility for financial planning, control and budgeting	<input checked="" type="checkbox"/>	Area manager	<input checked="" type="checkbox"/>
Responsibility for marketing and commercial development	<input checked="" type="checkbox"/>	Casino manager	<input checked="" type="checkbox"/>
Responsibility for regulatory compliance	<input checked="" type="checkbox"/>	Bingo manager	<input checked="" type="checkbox"/>
Responsibility for gambling related IT provision and IT security	<input checked="" type="checkbox"/>	External lottery manager	<input checked="" type="checkbox"/>

Sector	Non-Remote	Remote	Both		Non-Remote	Remote	Both
Casino	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Gambling software	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Bingo	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Lotteries	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Betting	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Adult gaming centre	<input checked="" type="checkbox"/>		
Machine	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Family entertainment centre	<input checked="" type="checkbox"/>		

Section 4. Criminality and Investigations

All current (i.e. unspent) convictions must be declared. In the case of convictions for relevant offences, previous convictions (i.e. spent) must also be declared. Section 4 of the Rehabilitation of Offenders Act 1974 does not apply to a Gambling Commission licence application.

7. Have you ever been convicted of an offence or accepted a formal police reprimand, warning or caution in Great Britain or abroad including if charged with an offence but awaiting trial, or under investigation?

Yes – please provide details below
(use a continuation sheet if necessary)

No – please continue to question 8

7a. Date of action

Offence

Penalty

Court/location

Other details (including reference number). Please use a continuation sheet if necessary.

7b. Date of action

Offence

Penalty

Court/location

Other details (including reference number). Please use a continuation sheet if necessary.

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Section 4. (continued)

8. To your knowledge, are you currently the subject of any criminal investigation?

Yes - please provide details below
(use a continuation sheet if necessary)

No - please continue to question 9

Date of action

Subject of investigation

Location of investigation

Other details. Please provide as much information as possible about the investigation including any contact names and reference numbers where known. Please use a continuation sheet if necessary.

9. Have you ever had any civil legal action taken against you in Great Britain (including County Court Judgements)?

Yes - please provide details below
(use a continuation sheet if necessary)

No - please continue to question 10

9a. Date of action

Nature of civil legal action

Court/location

Outcome

Other details. Please use a continuation sheet if necessary.

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Section 5. Competencies

12. Please provide details of your employment history within the last 10 years in chronological order, indicating whether this employment was in the gambling industry. If self employed, please state the nature of your employment (use a continuation sheet if necessary).

Date from

Date to

12a. Employer name/details

Job title, main responsibilities and reason for leaving

Gambling industry?

Yes

No

12b. Employer name/details

Date from

Date to

Job title, main responsibilities and reason for leaving

Gambling industry?

Yes

No

12c. Employer name/details

Date from

Date to

Job title, main responsibilities and reason for leaving

Gambling industry?

Yes

No

12d. Employer name/details

Date from

Date to

Job title, main responsibilities and reason for leaving

Gambling industry?

Yes

No

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The Gambling Commission is a data controller under the terms of the Data Protection Act 1998. The information provided on this form will be processed for the purposes necessary for the Commission to carry out its functions and meet its legal obligations. The data may be shared with third parties who fulfil a service on behalf of and under the express instructions of the Commission and other bodies where it is necessary to do so in order to carry out the Commission's functions and where the Commission is legally required or permitted to do so. The Commission complies with the Criminal Record Bureau (CRB) and Disclosure Scotland Codes of Practice and undertakes not to discriminate unfairly against any subject of a disclosure on the basis of conviction or other information revealed. However, the existence of a conviction for a relevant offence listed in Schedule 7 of the Gambling Act 2005 is a ground on which the Commission may refuse a licence. The Commission's Policy Statement on the Handling of applications from Ex-Offenders is available on our website.

Any information or material sent to us and which we record may be subject to the Freedom of Information Act 2000. The Commission's policy on release of information is available on request or by reference to our website at www.gamblingcommission.gov.uk. The Commission will treat all information as confidential and will only disclose that information to people outside the Commission where it is necessary to do so in order to carry out the Commission's functions or where the Commission is required by law to disclose the information. Therefore when providing information, if you think that certain information may be exempt from disclosure under the Freedom of Information Act 2000, please annotate the form accordingly so that we may take your comments into account. In addition, if your application is successful some of your details will be held on a public register. If any of the information on that register is inaccurate you have the right to have it corrected.

Section 11. Enclosures

Please cross the box to confirm that the required enclosures have been attached.

The appropriate fee (non-refundable).



Appropriate original identification documents (see Guidance Notes).



Completed CRB or Disclosure Scotland application form.



Copy of CRB or Disclosure Scotland report if the Commission is the countersignatory and it was issued within the last three months.



Overseas police report if you are not a British national and have not lived in Great Britain for more than two years.



Copies of any overseas licences held by the applicant.



Continuation sheet(s) if required.



Completed Photograph Identification Form.



Remember: Your application will not be considered unless all relevant questions have been completed and the application fee has been paid in full. Failure to provide the above information or to provide further information when requested by the Commission may result in your application being determined based on the information available to the Commission at the time which may affect the outcome of your application.

Keeping gambling fair and safe for all

For further information or to register your interest in the Commission please visit our website at:
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