







### Section 3. Type of Change

5. Please cross the box to indicate the type of change that you are applying for (see Guidance Notes).

Change in control (where the change is due to share fluctuations and both parties are known to the Commission)

Change in control (where both parties are known to the Commission and it is not due to a share fluctuation)

Change in control (where the change includes one party not known to the Commission)

Change resulting from divisions.

### Section 4. New Entity Details

Type of entity (cross (X) one box only).

a. Private Limited Company

f. Non-Commercial Society

b. Public Limited Company

g. Local Authority

c. Sole Proprietor

h. Government Organisation

d. Partnership

i. Other (specify below)

e. Corporate Body

7. Has the new controlling operator ever been known by another name (company name changes, aliases and previous names)?

Yes - please provide details below (use a continuation sheet if necessary)

No - please continue to question 8

Previous name

From

To



### Section 5. Outgoing Controlling Owners, Partners, Directors or Shareholders

8. Please give details of the controlling owners, partners, directors or shareholders that will no longer be involved with the licence holder (use a continuation sheet if necessary).

First name(s)

Surname

Date of birth

Role

Overall strategy and delivery of gambling

Financial planning, control and budgeting

Regulatory compliance

Marketing and commercial development

Gambling related IT provision and security

Major investor, owner, partner

Date person left organisation

Equity

 %

Personal Management Licence number















**Section 10. Other Information**

**18. Is there any other information which you believe the Commission would reasonably expect notice of or you would like the Commission to take into account when considering this application?**

Yes - please provide details below  
(use a continuation sheet if necessary)

No - please continue to section 11






## Section 12. Enclosures

Please cross the box to confirm that the required enclosures have been attached:

The appropriate fee (non-refundable)



Documentation confirming the new ownership of the operator



Personal identification documents for any associated Annex A or PML applications



Completed CRB or Disclosure Scotland application form or overseas police report for relevant personnel



Copies of any existing overseas licences held by the operator



Annex A or Personal Management Licence application form (as applicable) for all required individuals



Business Plan where applicable



Financial information where applicable



Updated adherence policies where applicable



Current operating licence, if issued as a hard copy



Continuation sheet(s) if required



**Note: Failure to provide the above information or to provide further information when requested by the Commission may result in your application being determined based on the information available to the Commission at the time which may affect the outcome of your application.**

The Gambling Commission is a data controller under the terms of the Data Protection Act 1998. The information provided on this form will be processed for the purposes necessary for the Commission to carry out its functions and meet its legal obligations. The data may be shared with third parties who fulfil a service on behalf of and under the express instructions of the Commission and other bodies where it is necessary to do so in order to carry out the Commission's functions and where the Commission is legally required or permitted to do so.

Any information or material sent to us and which we record may be subject to the Freedom of Information Act 2000. The Commission's policy on release of information is available on request or by reference to our website at [www.gamblingcommission.gov.uk](http://www.gamblingcommission.gov.uk). The Commission will treat all information as confidential and will only disclose that information to people outside the Commission where it is necessary to do so in order to carry out the Commission's functions or where the Commission is required by law to disclose the information. Therefore when providing information, if you think that certain information may be exempt from disclosure under the Freedom of Information Act 2000, please annotate the form accordingly so that we may take your comments into account.





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The Gambling Commission regulates gambling in the public interest. It does so by keeping crime out of gambling, by ensuring that gambling is conducted fairly and openly, and by protecting children and vulnerable people from being harmed or exploited by gambling. The Commission also provides independent advice to government on gambling in Britain.

For further information or to register your interest in the Commission please visit our website at [www.gamblingcommission.gov.uk](http://www.gamblingcommission.gov.uk).

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